South Northamptonshire Council

Summary of the decisions taken at the meeting of the Audit Committee held on Thursday 30 July 2020

Agenda Item and Recommendations	Decision
Agenda Item 6 **Draft External Audit Results 2019/20** Report of the Executive Director – Finance **Purpose of report** To receive a draft report setting out the External Audit Results for 2019/20. **Recommendations** The meeting is recommended: 1.1 To note the contents of the draft External Audit Results for 2019/20 from our External Auditors, Ernst & Young (EY).	**Resolved** (1) That the contents of the Draft External Audit Results for 2019/20 be noted.
Agenda Item 7 **Internal Audit Annual Report & Opinion 2019/20** Report of the Executive Director – Finance **Purpose of report** To receive the CW Audit Service Annual Report and Audit Opinion 2019/20. **Recommendations** The meeting is recommended: 1.1 To note the contents of the 2019/20 Internal Audit Annual Report & Opinion from CW Audit Services.	**Resolved** (1) That the contents of the 2019/20 Internal Audit Annual Report & Opinion be noted.

Agenda Item and Recommendation	Decision
Agenda Item 8 **Internal Audit Update July 2020** Report of the Executive Director – Finance **Purpose of report** To receive the CW Audit Service Update Report for July 2020. **Recommendations** The meeting is recommended: 1.1 To note the contents of the July 2020 Internal Audit Update Report from CW Audit Services.	**Resolved** (1) That the contents of the July 2020 Internal Audit Update Report be noted.
Agenda Item 9 **Quarterly Performance, Risk and Finance Monitoring Report - June 2020** Report of the Assistant Director – Customers and Corporate Services **Purpose of report** This report summarises the Council's Performance, Risk and Finance monitoring position as at the end of June 2020. **Recommendations** The meeting is recommended: 1.1 To note the quarterly Performance, Risk and Finance Monitoring Report. 1.2 To review the Leadership Risk Register and identify any issues for further consideration.	**Resolved** (1) That the Quarterly Performance, Risk and Finance Monitoring Report be noted. (2) That, having given due consideration, the Leadership Risk Register be noted.

Agenda Item and Recommendation	Decision
Agenda Item 10 **Revised Anti-Money Laundering Policy** Report of the Executive Director – Finance **Purpose of report** To present for approval a revised Anti-Money Laundering Policy. **Recommendations** The meeting is recommended: 1.1 To approve the revised Anti-Money Laundering Policy contained at Appendix 1.	**Resolved** (1) That, subject to a minor typographical amendment to Paragraph 7.9, the Revised Anti-Money Laundering Policy be approved.
Agenda Item 11 **Revised Whistleblowing Policy** Report of the Executive Director – Resources **Purpose of report** To present for approval a revised Whistleblowing Policy. **Recommendations** The meeting is recommended: 1.1 To approve the Revised Whistleblowing Policy contained at Appendix 1.	**Resolved** (1) That the Revised Whistleblowing Policy be approved.

Agenda Item and Recommendation	Decision
Agenda Item 12 **Treasury Management Report - Q1 - June 2020** Report of the Executive Director – Finance **Purpose of report** To receive information on treasury management performance and compliance with treasury management policy for 2020/21 as required by the Treasury Management Code of Practice. **Recommendations** The meeting is recommended: 1.1 To note the contents of the Q1 June 2020 Treasury Management Report.	**Resolved** (1) That the contents of the Q1 Treasury Management Report be noted.
Agenda Item 13 **Review of Committee Work Programme** To review and note the Committee Work Programme.	**Resolved** (1) That, subject to a minor typographical amendment to the item relating to the Accounts 2019-20 Sign Off Process, the Committee Work Programme be noted.
Agenda Item 15 **Exempt Appendix to Treasury Management Report - Q1 - June 2020** To consider the exempt appendix to the Treasury Management Report – Q1 – June 2020.	**Resolved** (1) That the exempt appendix be noted.
Agenda Item 16 **Progress of Counter Fraud Service** Exempt report of the Senior Investigation Officer – Corporate Fraud Team.	**Resolved** (1) That the exempt report be noted.